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Lump sum: Challenges and learnings



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Interact SCOs event – Online

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Phase 2 Lump sum* established for 4th call projects

Period 2014-2020

**Article 67 (1) (c) of Regulation (EU) No 1303/2013*



1. Why a lump sum for phase 2?

- For last call of projects, phase 2 is reduced to 1 year, with pre-defined activities (monitor the results of the policy change)
- Less administrative work for projects
- No FLC delay at the end of the project
- To simplify financial closure of the programme
- To test a SCO

2. What is our Phase 2 lump sum?

- For all 4th call projects (added to the Lead Partner's budget, distribution to be agreed in Partnership Agreement)
- For last year of project. 1 year duration
- For staff, travel and accommodation, office and administration, external expertise and services, equipment budget lines

2. What is our Phase 2 lump sum?

Number of policy instruments monitored	Lump sum for phase 2 for the whole partnership in EUR (total)
3	51,000
4	68,000
5	85,000
6	102,000
7	119,000
8	136,000
9	153,000
10	170,000
11	187,000
12	204,000
Etc.	etc.

3. What requirements?

Output	Requirement for lump sum payment
<p>1 detailed description of the action plans implementation including for each policy instrument:</p> <ul style="list-style-type: none"> - Results achieved - Details on the different actions implemented - Proper justification in case no implementation / results can be reported 	<p>Information provided in the last progress report approved by the JS</p>
<p>1 website updated</p>	<p>Website updated in relation to phase 2 activities (e.g. partner meeting, final dissemination event, if possible information on action plans implementation)</p>
<p>2 progress reports</p> <ul style="list-style-type: none"> - for last semester of phase 1 - annual report for phase 2 	<p>Progress reports submitted by the project and approved by the JS</p>

3. What requirements?

Output	Requirement for lump sum payment
1 final dissemination event	<p>Event with</p> <ul style="list-style-type: none">- a minimum of 40 participants for projects addressing 3 to 6 policy instruments and- a minimum of 50 participants for projects addressing 7 policy instruments and more. <p>As evidence, the project must provide with the last progress report the final agenda of the project dissemination event and the list of participants (signed or with equivalent probative value)</p>
1 partner meeting (back-to back with the final dissemination event)	90% of the partners represented proven through a partner meeting agenda, meeting notes and participants' list (signed or with equivalent probative value)

4. What challenges came up?

Flexibility on implementation

Post Covid implementation. Uncertainty about in person meetings

- Final event could be hybrid (not fully online) to make sure partners would still meet
- Partners meeting back to back with final event to have a maximum of partners in person

4. What challenges came up?

Support from JS +++

- End of **real costs** reporting at the end of phase 1, no delayed costs possible. Confusion since the project was not finished yet for instance FLC costs (included in the lumpsum)
- JS organized a **webinar** to explain the requirements in detail
- Lots of requests for specific situations (strike, simultaneous events for 2 different projects)
- **Technical guidance** to properly extract participants list from online software
- **1 officer on the spot** for final dissemination event checking the number of participants
- IT development to update our monitoring system. Also for financial corrections from 2nd level audits, to be implemented in lumpsum report

5. What worked well?

- ✓ Most partners happy to have the event in person
 - ✓ Very limited delays on submission of final reports
 - ✓ Less burden on the finance team for checking last reports
 - ✓ Payment forecast was available earlier for the closure of the programme
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6. What we learnt?

- Not to establish a lumpsum for a large period or long list of outputs
- Establish milestones or several lumpsum (1 per output)
- Monitor the projects closely during the implementation of the lumpsum to ensure they comply with requirements to get paid. Anticipate the risks.

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Any questions ?

Thank you!

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