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# Evaluation of European Territorial Cooperation Programmes

## Legal framework

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## Legal framework

- EC legal provisions: 1083/2006.
- EC guidance and methodological papers:
  - Working Document No 1: Ex Ante Evaluation.
  - Working Document No 2: Monitoring and Evaluation Indicators.
  - Working Document No 5: Indicative Guidelines on Evaluation Methods: Evaluation During the Programming Period.
  - Draft Working Paper No 7: Reporting on core indicators for the ERDF and the Cohesion Fund (being discussed by COCOF).
  - EVALSED.
- Programme and national context.



## EC legal provisions: general (1083/2006)

### Article 47

- Aim: to improve the quality, effectiveness and consistency of the EU assistance.
- Strategic or operational nature: examine the evolution of a programme or a group of programmes in relation to wider and operational objectives and/or support the monitoring of a programme.
- Carried out before, during and after the programming period.
- Responsibility: Member State or Commission, as appropriate.
- Carried out by functionally independent bodies or experts.
- Financed from the TA budget.
- Commission provides indicative guidance.



## EC legal provisions: general (1083/2006)

### Responsibility of Member States (Art 48)

- Provide resources and necessary data.
- May draw up an evaluation plan.
- Carry out ex ante evaluation (one evaluation for several programmes possible).
- Carry out evaluation during the programming period.

### Responsibility of Commission (Art 49)

- May carry out strategic evaluations.
- May carry out evaluations during the programming period.
- Carries out ex post evaluation.

### Responsibility of Managing Authority (Art 60 (e))

- Ensuring that the evaluation of operational programmes referred to in Art 48(3) are carried out in accordance with Art 47.



## EC legal provisions: general (1083/2006)

### Tasks of the Monitoring Committee (Art 65)

- Periodically review progress made towards achieving the specific targets of the OP on the basis of documents submitted by the MA (b).
- Examine the results of implementation, particularly the achievement of the targets set for each priority axis and the evaluations (c).
- Propose to the MA any revision or examination of the OP likely to make possible the attainment of the Funds' objectives or to improve its management, including its financial management (f).
- Consider and approve any proposal to amend the content of the Commission decision on the contribution of the Funds (g).



## EC legal provisions: ex ante evaluation

- General provisions (art 45 and 47 of 1083/2006).
- Responsibility of Member States, the bodies responsible for developing operational programme.
- Is usually combined with the Strategic Environmental Assessment (as required by Directive 2001/42/EC) - Art 10 of the Directive requires also monitoring of significant environmental effects.
- Should also take regard of territorial dimension.



## EC legal documents: evaluation during the programming period

Articles 47-49 of 1083/2006

Also:

- Evaluation of information and publicity measures (1828/2006 Art 4.2).
- Requirements for environmental monitoring and assessment (Directive 2001/42/EC).
- Requirements to respect equality (1083/2006 Art 16) and sustainable development (1083/2006 Art 17).



## Evaluation must be performed (1083/2006)

### Art 48(3)

- If the monitoring of operational programmes reveals a significant departure from the initial annual goals/targets set.
- When operational programme revisions are proposed.

### Art 33 on type of revisions:

- Following significant socio-economic changes.
- In order to take greater or different account of major changes in Community, national or regional priorities.
- In the light of the evaluations referred to in Art 48(3)
- Following implementation difficulties.



## EC legal provisions: ex post evaluation

### Articles 47 and 49

- Solely responsibility of the European Commission.

*The ex post evaluation of the INTERREG programmes is being done on a sample basis - is this enough?*



## Ex post evaluation of INTERREG III

- Inception report available on EC website.
- Terms of reference available on EC website.
- Interim (May 2009 and September 2009) and final (December 2009) reports will also be available on EC website - EC willing to discuss those with Programmes.
- [http://ec.europa.eu/regional\\_policy/sources/docgener/evaluation/pdf/expost2006/expo\\_interreg\\_report170209.pdf](http://ec.europa.eu/regional_policy/sources/docgener/evaluation/pdf/expost2006/expo_interreg_report170209.pdf)
- Dissemination event / debate in early 2010.
- Policy paper by DG Regio on implications for Objective 3 and future - early 2010.
- Relevant recommendations to be applied already to current programmes.



## What is EVALSED?

- Guide to evaluation of social and economic development.
- Published in 2004, based on MEANS.
- Two main parts - Guide and Sourcebooks.
- [http://ec.europa.eu/regional\\_policy/sources/docgener/evaluation/evalsed/index\\_en.htm](http://ec.europa.eu/regional_policy/sources/docgener/evaluation/evalsed/index_en.htm)
- Continuously updated.



## DG Regio evaluation site

- [http://ec.europa.eu/regional\\_policy/sources/docgener/evaluation/evaluation\\_en.htm](http://ec.europa.eu/regional_policy/sources/docgener/evaluation/evaluation_en.htm)
- Evaluations undertaken for the Commission.
- Terms of References.
- Reports on progress of evaluations.
- Actual studies and reports.



## DG Regio evaluation network with Member States

- Representatives of the Member States and the European Commission.
- Main emphasis on convergence and competition objectives but also covers INTERREG.
- Focusing on reviewing and exchanging best practice and on developing capacity for monitoring and evaluation.
- Materials available on Inforegio website.



## Other activities of DG Regio in the field of evaluation

- An international evaluation conference to be organised by DG Regio in cooperation with Polish Ministry of Regional Development in October 2009.
- Session on ex post evaluation of INTERREG during the 2009 Open Days.
- Network of external evaluation experts from each Member State: to gather evidence and discuss it with national administrations and the Commission at regular meetings in Brussels.