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INTERACT ENPI Annual Conference 10-11 December 2009 Rome



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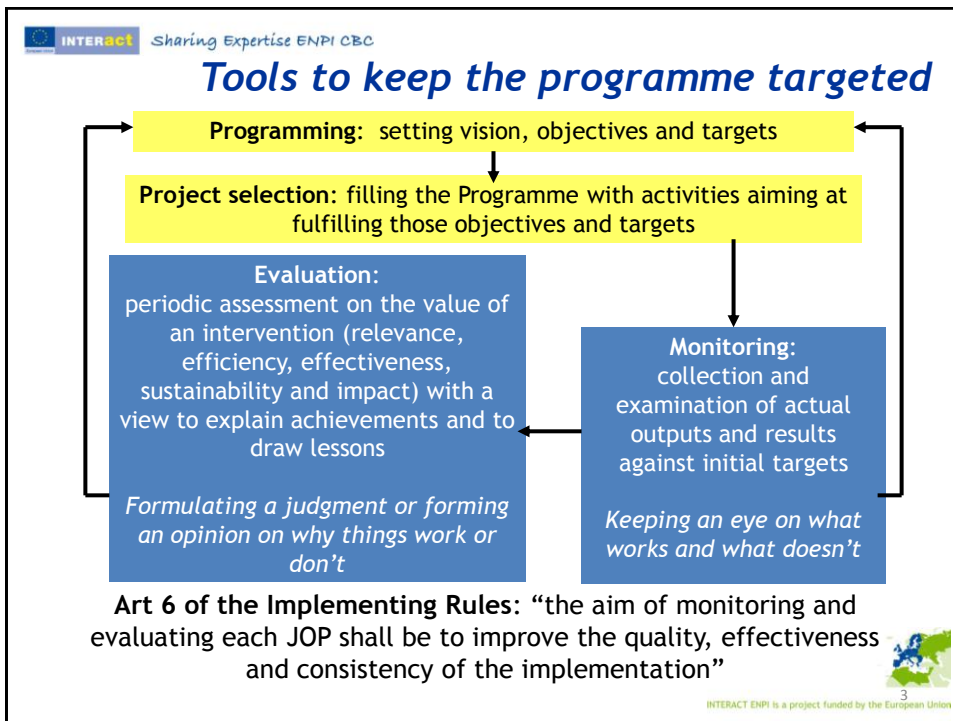
Workshop II

Monitoring and Evaluation

INTERACT ENPI Annual Conference
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Monitoring

- Is carried out by the JMA (with the support of the JTS) and data should be regularly reported to the JMC and to the EC (annual reports)
- Monitoring requires:
 - Clear indicators that are essential to verify whether a programme is on track or not :
 - ➔ *Indicators are problematic for cross border cooperation programmes because of the multi-country and multi-sectoral setting, the great range of projects and their generally small budget.*
 - A sound Monitoring system for collecting and analysing data at programme and project level

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Monitoring system

- Programmes have established or are establishing their monitoring system
 - Possible options in setting up this system are the following:
 - Use existing monitoring systems developed for the purpose of neighborhood Programmes or ETC programmes
 - Develop and use the same monitoring system but with separate data
 - Develop it from scratch
- *Need to define the minimum requirements on the information that EC expects from programmes when reporting*



Collecting data for monitoring

- **Progress reports from beneficiaries** submitted on a regular basis including coherent and useful data:
 - *Annex VI (progress report at project level) not considered as a strategic tool when developing the application pack*
- **Field visits** useful also to develop a constructive dialogue with project partners





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Some thoughts about evaluation

WHO evaluates?

- EC: will carry out a mid term and ex post evaluation
 - ➔ *need to know when EC envisages to carry out the mid term evaluation, how this will be done and what will be the role of the programmes*
- Programmes:
 - foresee to carry out their own evaluation at least for some kind of projects (large scale projects and strategic projects) and for a sample of projects
 - in those JOPs where evaluation is specifically foreseen the JMC is responsible for deciding when and how it will be carried out
- Projects, according to the application packs approved by some programmes, are not obliged to carry out their own evaluation (intermediate and final)



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Some thoughts about evaluation

WHAT to evaluate?

- Evaluation is to be **useful and usable**: it is up to the programmes exploring specific issues and questions that are of special interest for them (**needs-driven evaluation**) and develop accordingly their evaluation plans
- Evaluation can have a **strategic nature and/or an operational nature**
- Evaluation can also be carried out across programmes to better understand the factors contributing to successful implementation



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Some thoughts about evaluation

HOW to evaluate?

- Evaluation plan over a multi-annual perspective to ensure ownership and needs-driven evaluation where the demands of decision-makers and various stakeholders are taken on board
- Evaluation can be carried out by **internal and/or external experts**; however they have to be independent in any case
- When using external experts, **involving the internal staff and decision makers** in the process is fundamental to ensure a link with monitoring, strengthen ownership and facilitate follow-up



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Possible M&E activities and responsibilities

Level	Monitoring	Evaluation
Horizontal / policy		Ex-ante, mid-term, ex-post EC, external evaluators
Programme / cross-programme	On-going, regular Internal: Programme External: EC	Ex-ante, mid-term, on-going, final, ex-post Internal: Programme External: EC, external evaluators
Project	On-going, regular Internal: Project/Programme, External: Programme and EC (to be further analysed how ROM could be applied)	Ex-ante, on-going, final, ex-post Internal: Project External: Programme



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Questions for discussion

- **Monitoring:** What are the main challenges in setting up the monitoring system? What measures could be taken to support projects to duly monitor and report their outputs and results?
- **Evaluation:** What should be evaluated and how? What are the main constraints? What should be the role of the different bodies/levels from preparing the evaluation plan to internal follow up and dissemination?
- **Indicators:** Do ENPI CBC programmes and projects require specific indicators so to capture their specificity (cross border impact and partners involvement) and generate more convincing evidence about what they are achieving and their added value?

