



**ENPI CBC Conference, 10-11 December, Rome  
Workshop on “Evaluation and selection”**

**INTRODUCTION**

**State of the art**

So far, seven ENPI CBC Programmes<sup>1</sup> have already launched their first call for proposals while, after the signature of the Financing Agreements with Russia, the rest of the active programmes is currently working on the drafting and approval of the application package and calls will be launched in the forthcoming weeks.

The following table provides an overview on the **financial allocation dedicated to the first call for proposals** by the four Programmes which have already closed the first call<sup>2</sup>:

	BSB	HU-SK-RO-UA	RO-UA-MD	MSB
<b>Total financial allocation (in Euro)</b>	3.311.369	13.333.333	35.000.000	32.811.784
<b>Percentage of Programme funds for projects (i.e., excluding TA)</b>	21%	32% <sup>3</sup>	31%	21%

**What types of projects are programmes looking for?**

As a result of a first screening of the Guidelines for Applicants issued by the four programmes having closed their calls, the basic features to be followed by applicants and partners projects willing to submit a proposal diverge from programme to programme.

○ **Project size**

	BSB	HU-SK-RO-UA	RO-UA-MD	MSB
<b>Financial size (in Euro)</b>	<ul style="list-style-type: none"> <li>100.000 to 700.000 of <b>total grant</b> in P1 and P2</li> <li>50.000 to 250.000 of <b>total grant</b> in P3</li> </ul>	<ul style="list-style-type: none"> <li>100.000 to 500.000 of <b>total grant</b> in P1</li> <li>100.000 to 1.5 Mio of <b>total grant</b> in P2</li> <li>50.000 to 500.000 <b>total grant</b> in M4.1</li> <li>25.000 to 100.000 <b>total grant</b> in M4.2</li> </ul>	<ul style="list-style-type: none"> <li>100.000 to 3 Mio of <b>total grant</b> in P1 and P2</li> <li>30.000 to 150.000 of <b>total grant</b> in P3</li> </ul>	<ul style="list-style-type: none"> <li>500.000 to 2 Mio of <b>total eligible costs</b> in all priorities</li> </ul>
<b>Additional features</b>	<ul style="list-style-type: none"> <li>50.000 to 250.000 of <b>total grant</b> for projects implemented mainly or entirely in a single participating country but having a cross-border impact</li> </ul>	<ul style="list-style-type: none"> <li>Maximum 5% of the total eligible costs (i.e., 50% of co-financing) for the actions are covered from national budget for partners from Member States</li> </ul>		<ul style="list-style-type: none"> <li>For Priority 4, the minimum ceiling is reduced at 200.000 Euro for 50% of the total budget allocated to this category</li> <li>Projects may exceed 2 Mio€, but maximum programme contribution is fixed at 1.8 Mio€</li> </ul>

<sup>1</sup> Baltic Sea Region (BSR - 2 calls), Black Sea Basin (BSB), Hungary-Slovakia-Romania-Ukraine (HU-SK-RO-UA), Italy-Tunisia (IT-TU), Mediterranean Sea Basin (MSB), Poland-Belarus-Ukraine (PL-BY-UA) and Romania-Ukraine-Republic of Moldova (RO-UA-MD)

<sup>2</sup> Due to its specific nature, the Baltic Sea Region programme is not included in the detailed analysis provided in this document.

<sup>3</sup> Percentage of Programme funds for projects, excluding funds for Priority 3.











○ **Partnership requirements**

	BSB	HU-SK-RO-UA	RO-UA-MD	MSB
<b>Minimum number of partners</b>	2 partners (at least 1 from an EU MS and 1 from partner country) <sup>4</sup>	2 partners (at least 1 from an EU MS and 1 from UA)	2 partners (at least 1 from RO and 1 from UA or MD)	3 countries (at least 1 EU-MC and 1 MPC)
<b>Recommended number of partners</b>	3-10	Not provided	Not provided	Not provided

○ **Project duration**

BSB	HU-SK-RO-UA	RO-UA-MD	MSB
<b>Max 12 months</b> for projects between € 50.000 - 100.000 grant <b>Max 24 months</b> for projects between € 100.000 - 700.000 grant	<b>6-24 months.</b> Extension can be granted upon request of Beneficiary.	<b>12-24 months</b> for P1 and P2. 36 months for investment projects can be accepted <b>6-18 months</b> for P3	<b>Cannot exceed 48 months</b>

○ **Project nature**

	BSB	HU-SK-RO-UA	RO-UA-MD	MSB
<b>Integrated projects</b>	 Additional scoring in evaluation grid (5 points)			
<b>Symmetrical projects</b>				Symmetrically implemented in EU MED Countries and in MED Partner Countries
<b>Simple projects<sup>5</sup></b>	Max 10% of the budget of the call will be available for this type of projects	NO		Implemented only in MED Partner Countries

These and other data, covering also the comparison between application forms and grant contracts of the different programmes, will be included in phase I of the **INTERACT ENPI survey on the first call for proposals** which should be made available to programmes in early 2010.

<sup>4</sup> Participation of Turkish partners benefiting from IPA funds is only possible in tripartite projects (i.e., MS + partner country + Turkey)

<sup>5</sup> Irrespective the specificities, simple projects must always be for the benefit of the entire partnership.



## First outcomes of the calls<sup>6</sup>

Following the launch of the calls, an intense period of animation, information and facilitation of partner search took place at country level, including the extensive work carried out by RCBI in partner countries. The impact of all these activities can start being measured now that the calls have been closed.

As a first result to be highlighted, the four programmes analyzed have managed to attract a large number of applicants and partners, making the **total figure of received proposals** reach quota 1.342. When analyzing this figure in detail, it could be concluded that those programmes with a larger financial envelope made available for the call have received a larger number of proposals, but the reason at the basis of this response should be better sought instead in the large geographical coverage (MSB) or in the existence of a cooperation tradition stemming from Neighbourhood Programmes (RO-UA-MD).

Within each programme it should be highlighted as a first success the fact that applicants cover the full list of participating countries actively involved in programme implementation. Another feature on which attention should be brought is the consistent number of proposals received for each priority.

## What next?

The programmes having already closed their calls are currently undertaking the **first steps of the evaluation procedure**. In addition to the opening session, two programmes (BSB and HU-SK-RO-UA) have foreseen a performance of the verification of eligibility in combination with the administrative check, whereas the other two (MSB and RO-UA-MD) will perform this control only for those proposals preselected for funding after the quality assessment has been concluded. An evaluation of the differences between the two approaches in terms of workload for the JMAs/JTSs as well as in terms of time needed will be included in phase III of the aforementioned INTERACT ENPI survey.

Following the requirements laid down in PRAG, programmes have accomplished the **nomination of the members (voting and non-voting) of the Project Selection Committee** and - soon after the Christmas break - the first meetings of the Committee will be convened. These meetings will also provide the *fora* for INTERACT ENPI to deliver (with the contribution of RCBI) **training activities** targeted to the members of the Committee as well as, where foreseen by programmes, for external assessors in charge of the quality evaluation of proposals. Concerning the latter, programmes have developed the necessary **Terms of Reference for the creation of a pool of external assessors** and in some cases have already launched the selection procedure.

Overall, the finalisation of the selection process and the contracting of projects is expected to take place **as from the second quarter of 2010**, with the obvious differences among programmes due to the number of proposals received.

As a support tool, the INTERACT ENPI project has developed the **“Guide for assessing project applications under ENPI CBC Programmes”** with the main purpose of supporting the persons responsible for the preparation, management and execution of the evaluation and selection process by proposing in one document a systemic, coherent and consistent way to undertake the evaluation and selection of project proposals submitted for funding to the ENPI CBC programmes. The Guide can be downloaded from the INTERACT ENPI web page:

[http://www.interact-eu.net/enpi\\_news/guide\\_for\\_assessing\\_project\\_proposals\\_under\\_enpi\\_cbc\\_programmes/189/3704](http://www.interact-eu.net/enpi_news/guide_for_assessing_project_proposals_under_enpi_cbc_programmes/189/3704)

<sup>6</sup> See enclosed document with statistics.

